Fund in which your investment is held

Full name(s) of registered holding

Registered address

All correspondence and enquiries to:

Centuria

PO Box R775, Royal Exchange NSW 1225
Tel: 1800 182 257 (within Aust)
Tel: +61 2 7248 6323 (outside Aust)
Email: centuria@getcaruso.com
portal.centuria.com.au

You are required to insert this number
Investing Entity ID

SMALL ESTATE STATEMENT AND INDEMNITY

USE A **BLACK PEN**. PRINT IN CAPITAL LETTERS INSIDE THE BOXES.

Section 1 SMALL ESTATE STATEMENT AND INDEMNITY

Description of securities (shares, options etc)

Number of securities (shares, options etc)

I/We do solemnly and sincerely declare I am/we are the legal representatives for the above deceased estate.

Full name of executor(s) or administrator(s)

I/We request the security issuer to permit transmission of the securities detailed above to ourselves as legal representative(s) of the estate without requiring a Grant of Probate or Letters of Administration or reseal of grant of probate to be obtained in the state of:

(Australian State or Territory where the securities are registered)

In consideration of the security issuer registering the securities in my/our name(s) I/we hereby covenant to indemnify and forever keep indemnified the security issuer, the directors and trustees of the security issuer, Caruso Software AU Pty Limited and the directors and officers of Caruso Software AU Pty Limited from and against all losses in respect thereof and all claims, actions, proceedings, demands, costs and expenses whatsoever which may be made or brought against them by reason of compliance with this request

Contact name

Telephone number (business hours)

Telephone number (after hours)

Section 2 SIGNATURES

This section MUST be signed and witnessed for your instructions to be executed

All executor(s)/administrator(s) must sign.

The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s).

Individual:
This form is to be signed by the investor.

Executors/administrators:
When the holding is in the name of an estate, all executors/administrators are required to sign.

Power of Attorney:
To sign as Power of Attorney, you must have already lodged it with the registry. Alternatively, attach a certified photocopy of the Power of Attorney to this form.

Australian investors: The Corporations Act 2001 imposes severe penalties for making a false statement ($10,000 or two years imprisonment or both) or failing to ensure a statement is not false or misleading ($5,000 or one year imprisonment or both).

Overseas investors: Please complete this statutory declaration in accordance with the laws of the country in which it is declared and ensure it is legally witnessed.

I/We authorise you to act in accordance with my/our instructions set out above.
I/We acknowledge that these instructions supersede and have priority over all previous instructions in respect to my/our securities.

Individual 1	Witness to signature of Individual 1	Date (DD/MM/YYYY)

Individual 2	Witness to signature of Individual 2	Date (DD/MM/YYYY)

Individual 3	Witness to signature of Individual 3	Date (DD/MM/YYYY)

PRIVACY
Caruso Software Limited and its subsidiaries and related companies (including Caruso Software AU Pty Ltd, ACN 672 398 382) (together **Caruso**, **we**, **us** or **our**) is a modern fund administrator that provides an online platform for use by private-market fund managers (our **Customers**). When you sign up to use the Caruso platform (either as an investor, or as an employee or agent of our Customers), we will collect your log-in and profile information, including your name, email, password, phone number; information that you input into the Caruso platform; your device information and other authentication metadata; your feedback or queries related to our platform and information about your use of the platform. We generally collect your personal information directly from you, from our Customers or automatically through cookies, analytics or tracking technologies. We will collect and use your personal information in order to facilitate access and use of the Caruso platform and to facilitate the provision of our and our Customers' services to you. If you opt in, we may also use and disclose your personal information for marketing and promotional purposes. You don't have to provide us with your personal information, but if you don't it may mean that you (or the Customer you are representing) will not be able to access or use our platform. If you have any questions about our information handling policies please refer to our Privacy Policy, which includes more information about the way we handle your personal information, who we share your personal information with (including countries outside of Australia where your personal information may be shared), details about how we handle personal information, how to contact us, how to access or correct your personal information and how to make a complaint.