Fund in which your investment is held

Full name(s) of registered holding

Registered address

All correspondence and enquiries to:

Centuria

PO Box R775, Royal Exchange NSW 1225
Tel: 1800 182 257 (within Aust)
Tel: +61 2 7248 6323 (outside Aust)
Email: centuria@getcaruso.com
portal.centuria.com.au

You are required to insert this number
Investing Entity ID

NAME CORRECTION REQUEST AND INDEMNITY

USE A **BLACK PEN**. PRINT IN CAPITAL LETTERS INSIDE THE BOXES.

Section 1 NAME CORRECTION

My/Our full and correct name(s) are

Section 2 REASON FOR NAME CHANGE

Please tick the box that applies to the reason for this name change.

- ☐ Change of name by marriage
- ☐ Company name change
- ☐ Change, add or delete an account designation
- ☐ Reverting to maiden/former name
- ☐ Spelling correction
- ☐ Addition of a middle name
- ☐ Other *(Refer to the checklist on reverse)*

NOTE: *In some cases, original certified copies of supporting document(s) must be provided. The type of document(s) depends on the type of name change. Supporting documentation requirements are listed on the reverse of this form.*

Section 3 SIGNATURES

This section MUST be signed and witnessed for your instructions to be executed.

I/We authorise you to act in accordance with my/our instructions set out above. I/We acknowledge that these instructions supersede and have priority over all previous instructions with respect to my/our securities. There has been no change in beneficial ownership and I/we request my/our full and correct name(s) be recorded on the register. In consideration of the security issuer amending the register I/we hereby covenant to indemnify and forever keep indemnified the security issuer, the directors and trustees of the security issuer, Caruso Software AU Pty Ltd and the directors and officers of, Caruso Software AU Pty Ltd from and against all losses in respect thereof and all claims, actions, proceedings, demands, costs and expenses whatsoever which may be made or brought against them by reason of compliance with this request.

The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s).

Individual 1 Sole Director and Company Secretary	**Individual 2** Director	**Individual 3** Director/Company Secretary
Witness	Witness	Witness
Date (DD/MM/YYYY)	Date (DD/MM/YYYY)	Date (DD/MM/YYYY)
Contact name	Telephone number (business hours)	Telephone number (after hours)

Please read the following instructions carefully to ensure the form is completed accurately and returned with all requirements.

Do **NOT** use this form to

- correct a CHESS securityholding. You must contact the sponsoring broker to perform this change;
- include additional securityholders to the existing securityholder reference number (SRN) (you will need to complete a standard transfer form);
- allocate a portion of your securityholding with an account designation, for example Mr John Smith <Smith family a/c>. You will need to complete a standard transfer form; or
- amend registration details from a minor's name to parent/guardian. You will need to complete a minor(s) register correction and indemnity form.

A Your securityholder Reference Number (SRN)/certificate number must be completed in the box on the top right hand corner of this form. For issuer sponsored holdings, this information can be located on your latest holding or dividend statement. If the holding is certificated, please enclose the relevant original certificate(s) with the form. If you are unable to locate the original certificate(s) please contact our office.

B You must provide full details of the name you wish your securities to be registered at. Below are reasons why you may have changed your name and the documents you can send us to support the change. If we do not receive the required documents certified by an eligible person, we will not be able to accept your request.

Reason for name change	**Supporting documents required**
Marriage	Certified copy of the marriage certificate
Reverting to maiden/ former name	Certified copy of the following document(s) • marriage certificate and two forms of photo identification e.g. drivers licence, passport • marriage certificate and decree nisi/divorce certificate (if applicable) ***NOTE:*** *Documents must provide a link between the married name on the register and the maiden/former name being reverted to.*
Company	No supporting documentation is required to correct the spelling of a registered name for example Jon Berrie to John Berry Marg to Margaret
Addition of a middle name	No supporting documentation is required to correct or add a middle name for example Tony Adams to Tony Keith Adams Sally A Jones to Sally Ann Jones
Account designation	No supporting documentation is required to add, change or delete an account designation for example Andrew Frank Smith to Andrew Frank Smith <no 1 a/c> Mary Thompson <no 2 a/c> to Mary Thompson
Other	For any other change we require documentation that clearly establishes a link between the old and new name for example a certified copy of amended birth certificate, certificate of name change or deed poll issued from the Births, Deaths and Marriages Registration Office

If you are unsure of the supporting documentation requirements or need further assistance please contact Caruso on 1800 182 257 (within Aust) or +61 2 7248 6323 (outside Aust).

How to certify your document(s):

- The document must be certified by someone who has permission to do so under State law such as Justice of the Peace, Chartered Accountant.
- Legal practitioners, members of the police force.
- The certifying officer's name, position and contact number must be clearly stated on the document.
- All pages of the document must be certified.
- The certification must contain a statement to the effect that it is a 'true and correct copy' of the original.
- The stamp and/or statement and signature of the authorised person must be original. No photocopies or faxes of the certification are acceptable.

C

Please provide your contact information to assist us if we have a query about your form.

You must sign this form in the spaces provided, as follows:

Individual:
This form is to be signed by the investor.

Joint holding:
Where the holding is in more than one name, all of the investors must sign.

Power of Attorney:
To sign as Power of Attorney, you must have already lodged it with the registry. Alternatively, attach a certified photocopy of the Power of Attorney to this form.

Companies:
Two Directors, Director and Company Secretary or Sole Director and Sole Company Secretary can sign.

Please indicate the office held by signing in the appropriate space.

PRIVACY

Caruso Software Limited and its subsidiaries and related companies (including Caruso Software AU Pty Ltd, ACN 672 398 382) (together **Caruso**, **we**, **us** or **our**) is a modern fund administrator that provides an online platform for use by private-market fund managers (our **Customers**). When you sign up to use the Caruso platform (either as an investor, or as an employee or agent of our Customers), we will collect your log-in and profile information, including your name, email, password, phone number; information that you input into the Caruso platform; your device information and other authentication metadata; your feedback or queries related to our platform and information about your use of the platform. We generally collect your personal information directly from you, from our Customers or automatically through cookies, analytics or tracking technologies. We will collect and use your personal information in order to facilitate access and use of the Caruso platform and to facilitate the provision of our and our Customers' services to you. If you opt in, we may also use and disclose your personal information for marketing and promotional purposes. You don't have to provide us with your personal information, but if you don't it may mean that you (or the Customer you are representing) will not be able to access or use our platform. If you have any questions about our information handling policies please refer to our Privacy Policy, which includes more information about the way we handle your personal information, who we share your personal information with (including countries outside of Australia where your personal information may be shared), details about how we handle personal information, how to contact us, how to access or correct your personal information and how to make a complaint.